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10-22-01

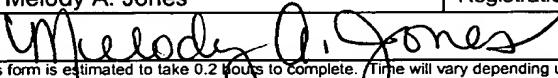
PTO/SB/05 (03-01)  
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. 8304M First Inventor James Earl Trout Assignee The Procter & Gamble Co. Title Reduced Saturated Fat Lipid-Based Fillings Express Mail Label No. EK952553171US	
<b>APPLICATION ELEMENTS</b> See MPEP Chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <i>(Submit an original, and a duplicate for fee processing)</i>		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status <i>(see 37 CFR §1.27)</i>		8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i> <ol style="list-style-type: none"> <li>a. <input type="checkbox"/> Computer Readable Form (CRF)</li> <li>b. Specification Sequence Listing on:               <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</li> <li>ii. <input type="checkbox"/> Paper</li> </ol> </li> <li>c. <input type="checkbox"/> Statement verifying identity of above copies</li> </ol>	
3. <input checked="" type="checkbox"/> Specification Total Pages [23] <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"> <li>- Descriptive Title of the Invention</li> <li>- Cross References to Related Applications</li> <li>- Statement Regarding Fed sponsored R&amp;D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings <i>(if filed)</i></li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
4. <input type="checkbox"/> Drawing(s) (35 USC §113) Total Sheets []		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i>	
5. Oath or Declaration Total pages [2] <ol style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</li> <li>b. <input type="checkbox"/> Copy from a prior application (37 CFR §1.63(d))  <i>(for continuation/divisional with Box 18 complete)</i> <ol style="list-style-type: none"> <li>i. <input type="checkbox"/> <b>DELETION OF INVENTORS</b>  <i>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR §§1.63(d)(2) and 1.33(b).</i></li> </ol> </li> </ol>		11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i>	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR §1.76		12. <input type="checkbox"/> Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations	
18. If a <b>CONTINUING APPLICATION</b> , check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR §1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP)    of prior application No. / _____ Prior application information: Examiner: _____ Group/Art Unit: _____		13. <input type="checkbox"/> Preliminary Amendment	
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>		16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.	
17. <input type="checkbox"/> Other: .....		19. CORRESPONDENCE ADDRESS	
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		(Insert Customer No. or Attach bar code label here) 27752	

Name (Print/Type)	Melody A. Jones	Registration No. (Attorney/Agent)	44,175
Signature		Date	October 18, 2001

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# **FEE TRANSMITTAL**

## **for FY 2002**

**Patent fees are subject to annual revision.**

<p style="text-align: center;"><b>FEE TRANSMITTAL</b> <b>for FY 2002</b></p> <p>Patent fees are subject to annual revision.</p>		<b>Complete if Known</b>	
		<i>Application Number</i>	
		<i>Confirmation Number</i>	
		<i>Filing Date</i>	October 18, 2001
		<i>First Named Inventor</i>	James Earl Trout
		<i>Examiner Name</i>	
		<i>Group/Art Unit</i>	
<b>TOTAL AMOUNT OF PAYMENT</b>	<b>(\\$) 1,020.00</b>	<i>Attorney Docket No.</i>	8304M

**METHOD OF PAYMENT (check one)**

The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number **16-2480**

Deposit Account Name **The Procter & Gamble Company**

Charge Any Additional Fee Required Under status. 37 C.F.R. §§1.16 and 1.17

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

<b>Code</b>	<b>(\\$)</b>	<b>Fee Description</b>	<b>Fee Paid</b>
105	130	Surcharge-late filing fee or oath	<input type="checkbox"/>
127	50	Surcharge-late provisional filing fee or cover sheet	<input type="checkbox"/>
139	130	Non-English specification	<input type="checkbox"/>
147	2,520	For filing a request for <i>ex parte</i> reexamination	<input type="checkbox"/>
112	920*	Requesting publication of SIR prior to Examiner's action	<input type="checkbox"/>
113	1,840*	Requesting publication of SIR after Examiner's action	<input type="checkbox"/>
115	110	Extension for reply within 1 <sup>st</sup> month	<input type="checkbox"/>
116	400	Extension for reply within 2 <sup>nd</sup> month	<input type="checkbox"/>
117	920	Extension for reply within 3 <sup>rd</sup> month	<input type="checkbox"/>
118	1,440	Extension for reply within 4 <sup>th</sup> month	<input type="checkbox"/>
128	1,960	Extension for reply within 5 <sup>th</sup> month	<input type="checkbox"/>
119	320	Notice of Appeal	<input type="checkbox"/>
120	320	Filing a brief in support of an appeal	<input type="checkbox"/>
121	280	Request for oral hearing	<input type="checkbox"/>
138	1,510	Petition to institute a public use proceeding	<input type="checkbox"/>
140	110	Petition to revive - unavoidable	<input type="checkbox"/>
141	1,280	Petition to revive - unintentional	<input type="checkbox"/>
142	1,280	Utility issue fee (or reissue)	<input type="checkbox"/>
143	460	Design issue fee	<input type="checkbox"/>
122	130	Petitions to the Commissioner	<input type="checkbox"/>
123	50	Petitions related to provisional applications	<input type="checkbox"/>
126	180	Submission of Information Disclosure Statement	<input type="checkbox"/>
146	740	Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="checkbox"/>
149	740	For each additional invention to be examined (37 CFR § 1.129(b))	<input type="checkbox"/>
179	740	Request for Continued Examination (RCE)	<input type="checkbox"/>
169	900	Request for expedited examination of a design application	<input type="checkbox"/>
091	1280	Acceptance of unintentionally delayed claim for priority under 35 U.S.C. 119, 120, 121, or 365 (a) or (c)	<input type="checkbox"/>
Other fee (specify) _____			<input type="checkbox"/>
Other fee (specify) _____			<input type="checkbox"/>

## **FEE CALCULATION**

**1. BASIC FILING FEE – Large Entity**

<u>Code</u>	<u>(\$)</u>	<u>Fee Description</u>	<u>Fee Paid</u>
I101	740	Utility filing fee	[X]
I106	330	Design filing fee	□
I108	740	Reissue filing fee	□
I114	160	Provisional filing fee	□

**SUBTOTAL (1)** **(\$(740))**

**2. EXTRA CLAIM FEES – Large Entity**

		Extra <u>Claims</u>	Fee from <u>Below</u>	Fee <u>Paid</u>
Total Claims	[10] - 20** =	[0] x	0 =	[0]
Independent Claims	[3] - 3** =	[0] x	0 =	[0]
Multiple Dependent			[280] =	[280]

**\*\* or number previously paid, if greater; For Reissues, see below**

<b>Code</b>	<b>(\\$)</b>	<b>Fee Description</b>
103	18	Claims in excess of 20
102	84	Independent claims in excess of 3
104	280	Multiple dependent claim, if not paid
109	84	**Reissue independent claims over original patent
110	18	**Reissue claims in excess of 20 & over original patent

**SUBTOTAL (2) (\$)[280]**

\* Reduced by Basic Filing Fee Paid      **SUBTOTAL(3)**      (\$ [0])

SUBMITTED BY				Complete (if applicable)	
Name (Print/Type)	Melody A. Jones	Registration No. (Attorney/Agent)	44,175	Telephone	(513) 634-6944
Signature				Date	October 18, 2001

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